## AMENDED IN ASSEMBLY APRIL 16, 1998 AMENDED IN ASSEMBLY APRIL 13, 1998 AMENDED IN ASSEMBLY MARCH 25, 1998

CALIFORNIA LEGISLATURE—1997-98 REGULAR SESSION

## **ASSEMBLY BILL**

No. 2102

Introduced by Assembly Members Alby and Ortiz (Coauthors: Assembly Members Aguiar, *Bordonaro*, Bowler, Cardenas, Cunneen, Gallegos, Leach, Machado, Margett, Morrissey, Oller, Runner, Scott, and Strom-Martin)

(Coauthors: Senators Monteith, Solis, and Watson)

February 18, 1998

An act to amend Sections 44237, 44332.6, 44830.1, 45125, and 45125.1 of, and to add Sections 44830.2, 45125.01, and 45125.2 to, the Education Code, and to amend Sections 13370 and 13376 of the Vehicle Code, relating to school employees, and declaring the urgency thereof, to take effect immediately.

## LEGISLATIVE COUNSEL'S DIGEST

AB 2102, as amended, Alby. School employees.

(1) Existing law requires every person, firm, association, partnership, or corporation offering or conducting private school instruction on the elementary or high school level to require each applicant for employment in a position requiring contact with minor pupils who does not possess a valid California state teaching credential, or is not currently licensed by another state agency that requires a criminal record summary, to submit 2 sets of fingerprints to the

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Department of Justice for the purpose of obtaining a criminal record summary from the Department of Justice and the Federal Bureau of Investigation.

This bill would instead apply this requirement to each applicant for position as an employee, as defined, requiring contact with minor pupils who does not possess a valid Commission credential issued by the on Teacher Credentialing. This bill would make those provisions inapplicable to a secondary school pupil working at the school he or she attends or to a person to whom a certificate has been issued by the Department of Motor Vehicles, authorizing the person to operate a schoolbus and similar vehicles.

(2) Existing law requires a county or city and county board of education to obtain a criminal record summary about an applicant before issuing the applicant before issuing the applicant a temporary certificate or temporary certificate of clearance and prohibits and entities from issuing either certificate if the applicant has been convicted of a violent or serious felony.

This bill would authorize a county or city and county board of education to issue these temporary certificates without obtaining a criminal record summary for current employees in certain circumstances.

(3) Existing law prohibits school districts, as defined to also include county offices of education and the state special schools, from employing any individual, whether in a certificated or classified position, who has been convicted of a violent or serious felony. Existing law also applies this prohibition to charter schools. Existing law requires the Department of Justice, when the department ascertains an individual was an applicant for employment by a school district and has been convicted of a violent or serious felony, to notify the school district of that information.

Existing law, notwithstanding those provisions regarding employment of any person convicted of a violent or serious felony, prohibits a person from being denied employment or terminated from employment solely on the basis that the person has been convicted of a serious felony that is not also a violent felony, if that person has obtained a certificate of rehabilitation and pardon pursuant to specified provisions.

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Existing law prohibits, notwithstanding those provisions pertaining to rehabilitation, a person from being denied employment or terminated solely on the basis that the person has been convicted of a serious felony that is not also a violent felony, if that person demonstrates he or she has been rehabilitated.

This bill would also prohibit school districts from employing, in a position requiring certification qualifications, any individual who has been convicted, as described, of a sex offense or a controlled substance offense, except as provided, thereby imposing a state-mandated local program. The bill would also apply those provisions pertaining to notification and rehabilitation to any individual who has been convicted of a sex offense or a controlled substance offense, thereby imposing a state-mandated local program.

(4) Existing law requires the governing board of a school district to require each person to be employed in a position not requiring certification to transmit fingerprint cards to the Justice and requires the department to Department of ascertain whether the applicant or employee has arrested or convicted of a crime and to furnish that information to the school board. Under existing law, the governing board of a school district is prohibited from employing a person in a position not requiring certification until the Department of Justice performs the required background check.

This bill would make this provision prohibiting a governing board from employing a person in a position not requiring certification until the Department of Justice performs a background check inapplicable when school a determines that an emergency or exceptional situation exists and that a delay would endanger pupil health or safety. This bill would authorize a county superintendent or school district, when a person is an applicant for employment or is employed on a part-time basis in a position requiring qualifications, certification or is an applicant for noncertificated position, to among themselves agree designate a single district or the county superintendent to act on behalf of the participating districts within the county to submit fingerprints to the Department of Justice, receive and

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review criminal history information, and maintain common lists of persons eligible for employment.

(5) Existing law requires, except as provided, if the employees of any entity that has a contract with a school district, as defined, to provide janitorial, administrative, landscape, transportation, food-related, or similar services may have any contact with pupils that those employees are required to submit or have submitted their fingerprints in a manner authorized by the Department of Justice.

This bill would, instead, make those provisions applicable to any entity that has a contract to provide school and classroom janitorial, schoolsite administrative, schoolsite grounds and landscape maintenance, pupil transportation, or schoolsite food-related services, and would authorize the school district to determine, on a case-by-case basis, whether to require an entity providing services or certain services related to the construction of school facilities other than those services to comply with the requirements regarding the submission of fingerprints unless district makes the determination. The bill would require an entity providing services related to the construction of school facilities to ensure the safety of pupils by certain means.

The bill would make those provisions inapplicable to an employee of an entity providing services to a school district if the employee has been issued a certificate by the Department of Motor Vehicles authorizing the person to operate a schoolbus and similar vehicles.

(6) Existing law requires the Department of Motor Vehicles to deny or revoke a schoolbus, school pupil activity bus, general public paratransit vehicle, or youth bus driver certificate if the applicant or certificate holder has been convicted of certain offenses and authorizes the department to do so if an applicant or certificate holder has been convicted of various offenses within 7 years of the application date.

This bill would instead require the Department of Motor Vehicles to deny or revoke such a permit to an applicant or certificate holder who has been convicted of any violent felony, as defined if the applicant initially applies for the certificate on or after the effective date of the bill.

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- (7) This bill would also make various changes to the procedures required when submitting, processing, and receiving fingerprint information under the law affected by the bill, including provisions concerning related fees and confidentiality of the records.
- (8) The California Constitution requires the state reimburse local agencies and school districts for certain costs mandated the state. Statutory provisions procedures for making that reimbursement, including the creation of a State Mandates Claims Fund to pay the costs of mandates that do not exceed \$1,000,000 statewide and other for statewide procedures claims whose costs exceed \$1,000,000.

This bill would provide that, if the Commission on State Mandates determines that the bill contains costs mandated by the state, reimbursement for those costs shall be made pursuant to these statutory provisions.

(9) The bill would declare that it is to take effect immediately as an urgency statute.

Vote:  $\frac{2}{3}$ . Appropriation: no. Fiscal committee: yes. State-mandated local program: yes.

The people of the State of California do enact as follows:

- 1 SECTION 1. Section 44237 of the Education Code is 2 amended to read:
- 3 44237. (a) Every person, firm, association,
- 4 partnership, or corporation offering or conducting
- 5 private school instruction on the elementary or high
- 6 school level shall require each applicant for a position as
- an employee that requires contact with minor pupils who
- 8 does not possess a valid California state credential issued 9 by the Commission on Teacher Credentialing or is not
- 10 currently licensed by another state agency that requires
- 11 a criminal record summary that directly relates to
- 12 services provided in a facility described in this section and
- 13 has background clearance criteria that meets or exceeds
- 14 the requirements of this section, to submit two sets of
- 15 fingerprints prepared for submittal by the employer to
- 16 the Department of Justice for the purpose of obtaining a

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criminal information from record summary the Department of Justice and the Federal Bureau of 3 Investigation.

- (b) (1) As used in this section, "employer" means firm, association, partnership, person, offering corporation or conducting private instruction on the elementary or high school level.
- (2) As used in this section, "employee" means any person hired to work in a private school at the elementary 10 or high school level on or after September 30, 1997, on a regular, full-time or regular, paid part-time basis who will have contact with minor pupils.
- (3) This section does not apply to a secondary school 14 pupil working at the school he or she attends or to a 15 person to whom a certificate has been issued by the 16 Department of Motor Vehicles authorizing the person to operate a vehicle identified in Section 12517, 12523, or 18 12523.5 of the Vehicle Code.
- (c) (1) Upon receiving the identification cards, 20 Department of Justice shall ascertain whether applicant has been arrested or convicted of any crime 22 insofar as that fact can be ascertained from information available to the department and forward the information to the employer submitting the fingerprints no more than 15 working days after receiving the identification cards. The Department of Justice shall not forward information regarding criminal proceedings that did not result in a conviction but shall forward information pending adjudication.
- (2) Upon implementation electronic of an fingerprinting system with terminals located statewide and managed by the Department of Justice. Department of Justice shall ascertain the information 34 required subdivision pursuant to this within 35 working days. If the Department of Justice cannot 36 ascertain the information required pursuant to subdivision within three working days, the department shall notify the employer submitting the fingerprints that it cannot so ascertain the required information. This notification shall be delivered by telephone or electronic

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mail to the employer submitting the fingerprints. If the employer submitting the fingerprints is notified by the 3 Department of Justice that it cannot ascertain the required information about a person, the employer may 5 not employ that person until the Department of Justice 6 ascertains that information.

Department of Justice (3) The shall review criminal record summary it obtains from the Federal Bureau of Investigation to ascertain whether an applicant 10 for employment has a conviction or an arrest pending final adjudication for any sex offense, substance offense, crime of violence, or serious violent felony. The Department of Justice shall provide written 14 notification to the private school employer only as to applicant employment whether an for has 16 convictions or arrests pending final adjudication for any these crimes but shall not provide information identifying any offense for which an existing employee was convicted or arrested.

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- (d) An employer shall not employ a person until the 21 Department of Justice completes its check of the state criminal history file as set forth in this section.
- person, firm, association, partnership, (e) A 24 corporation offering or conducting private school instruction on the elementary or high school level shall not employ person who has been convicted of a violent or serious felony.
  - employer shall request subsequent (f) An service from the Department of Justice as provided under Section 11105.2 of the Penal Code.
  - (g) This section applies to any violent or serious offense which, if committed in this state, would have been punishable as a violent or serious felony.
- 34 (h) For purposes of this section, a violent felony is any 35 felony listed in subdivision (c) of Section 667.5 of the 36 Penal Code and a serious felony is any felony listed in subdivision (c) of Section 1192.7 of the Penal Code. 37
- 38 (i) Notwithstanding subdivision (e), a person shall not be denied employment or terminated from employment solely on the basis that the person has been convicted of

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a violent or serious felony if the person has obtained a certificate of rehabilitation and pardon pursuant to Chapter 3.5 (commencing with Section 4852.01) of Title 6 of Part 3 of the Penal Code.

- (j) Notwithstanding subdivision (e), a person shall not be denied employment or terminated from employment solely on the basis that the person has been convicted of a serious felony that is not also a violent felony if that person can prove to the sentencing court of the offense 10 in question, by clear and convincing evidence, that he or she has been rehabilitated for the purposes of school employment for at least one year. If the offense in question occurred outside this state, then the person may seek a finding of rehabilitation from the court in the county in which he or she is a resident.
  - (k) The Commission on Teacher Credentialing shall send on a monthly basis to each private school a list of all teachers who have had their state teaching credential revoked or suspended. The list shall be identical to the list compiled for public schools in the state. The commission shall also send on a quarterly basis a complete and updated list of all teachers who have had their teaching revoked or suspended, excluding teachers credentials who have had their credentials reinstated, or who are deceased.
- of (*l*) The Department Justice may charge 27 reasonable fee to cover associated costs with the processing, reviewing, and supplying of the criminal record summary as required by this section. In no event shall the fee exceed the actual costs incurred by the department.
- 32 (m) Where reasonable access the statewide. to 33 electronic fingerprinting network is available. the of 34 Department Justice may electronic mandate 35 submission of the fingerprints and related information 36 required by this section.
- (n) All information obtained from the Department of 37 38 Justice is confidential. Agencies handling Department of Justice information shall ensure the following:

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(1) No recipient shall disclose its contents or provide copies of information.

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- (2) Information received shall be stored in a locked file separate from other files, and shall only be accessible to the custodian of records.
- (3) Information received shall be destroyed upon the hiring determination in accordance with subdivision (a) of Section 708 of Title 11 of the California Code of Regulations.
- (4) Compliance with destruction. storage, dissemination, auditing, backgrounding, and training requirements as set forth in Sections 700 through 708. inclusive, of Title 11 of the California Code of Regulations and Section 11077 of the Penal Code governing the use and security of criminal offender record information is the responsibility of the entity receiving the information from the Department of Justice.
- SEC. 1.5. Section 44332.6 of the Education Code is amended to read:
- 44332.6. (a) (1) Before issuing temporary 21 certificate pursuant to Section 44332, a county or city and county board of education shall obtain a criminal record summary about the applicant from the Department of Justice and shall not issue a temporary certificate if the applicant has been convicted of a violent or serious felony.
- (2) Before issuing a temporary certificate of clearance pursuant to Section 44332.5, a school district shall obtain a criminal record summary about the applicant from the 30 Department of Justice and shall not issue a temporary certificate of clearance if the applicant has convicted of a violent or serious felony.
- 33 (b) This section applies to any violent or serious 34 offense which, if committed in this state would have been 35 punishable as a violent or serious felony.
- (c) For purposes of this section, a violent felony is any 36 37 felony listed in subdivision (c) of Section 667.5 of the Penal Code and a serious felony is any felony listed in subdivision (c) of Section 1192.7 of the Penal Code.

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- (d) Notwithstanding subdivisions (a) and (b), person shall not be denied a temporary certificate or a temporary certificate of clearance solely on the basis that he or she has been convicted of a violent or serious felony if the person has obtained a certificate of rehabilitation and pardon pursuant to Chapter 3.5 (commencing with Section 4852.01) of Title 6 of Part 3 of the Penal Code.
- (1) Notwithstanding paragraph (1) of subdivision (a), a county or city and county board of education may issue a temporary certificate to an employee currently and continuously employed by a school district within the county who is serving under a valid credential and has applied for a renewal of that credential or for an additional credential without obtaining a criminal record summary for that employee.
- (2) Notwithstanding paragraph (2) of subdivision (a), a county or city and county board of education may issue a temporary certificate of clearance to an employee currently and continuously employed by a school district within the county who is serving under a valid credential and has applied for a renewal of that credential or for an additional credential without obtaining a criminal record summary for that employee.
- SEC. 2. Section 44830.1 of the Education Code is 24 25 amended to read:
- 44830.1. (a) In addition to any other prohibition or 27 provision, no person who has been convicted of a violent or serious felony, any sex offense, as defined in Section 44010, or any controlled substance offense, as defined in 30 Section 44011 shall be employed by a school district in a position requiring certification qualifications 32 supervising positions requiring certification 33 qualifications. A school district shall not retain 34 employment a current certificated employee who has 35 been convicted of a violent or serious felony, and who is 36 a temporary employee, a substitute employee, or a probationary employee serving before March 15 of the employee's second probationary year. For purposes of this section, a plea of nolo contendere to a serious or violent felony, or to any crime enumerated in Section

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44010 or 44011, constitutes a conviction. If any conviction is reversed and the formerly convicted person is acquitted of the offense in a new trail, this section does not prohibit his or her employment thereafter. A governing board may employ a person convicted of a controlled substance offense in a position requiring certification qualifications if that person holds an appropriate Commission 8 credential issued by the on **Teacher** 9 Credentialing.

(b) This section applies to any violent or serious offense which, if committed in this state, would have been punishable as a violent or serious felony.

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- (c) (1) For purposes of this section, a violent felony is 14 any felony listed in subdivision (c) of Section 667.5 of the Penal Code and a serious felony is any felony listed in 16 subdivision (c) of Section 1192.7 of the Penal Code.
- (2) For purposes of this section, the term "school 18 district" has the same meaning as defined in Section 41302.5.
- (d) When the governing board of any school district 21 requests a criminal record summary of a temporary substitute or probationary certification employee, two fingerprint cards, bearing the legible rolled and flat impressions of the person's fingerprints together with a personal description and the fee, shall be submitted, by any means authorized by the Department of Justice, to the Department of Justice.
- (e) When the Department of Justice ascertains that an 29 individual who is an applicant for employment by a school district has been convicted of a violent or serious felony, any sex offense, as defined in Section 44010, or any controlled substance offense, as defined in Section 44011, the department shall notify the school district of the criminal information pertaining to the applicant. The notification shall be delivered by telephone or electronic 36 mail to the school district. The notification to the school district shall cease to be made once the statewide electronic fingerprinting network is returning responses within three working days. The Department of Justice shall send by first-class mail or electronic mail a copy of

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the criminal information to the Commission on Teacher Credentialing. At the request of the school district, the Department of Justice shall forward one copy of the 4 fingerprint card to the Federal Bureau of Investigation for the purpose of obtaining any record of previous convictions of the employee. The Department of Justice may charge a reasonable fee to cover the costs associated with processing, reviewing, and supplying the criminal record summary required by this section. In no event shall the fee exceed the actual costs incurred by the 10 11 department. 12

- (f) Notwithstanding subdivision (a), a person shall not 13 be denied employment or terminated from employment 14 solely on the basis that the person has been convicted of a violent or serious felony, any sex offense, as defined in 16 Section 44010, or any controlled substance offense, as defined in Section 44011 if the person has obtained a 18 certificate of rehabilitation and pardon pursuant to 19 Chapter 3.5 (commencing with Section 4852.01) of Title 20 6 of Part 3 of the Penal Code.
- (g) Notwithstanding subdivision (e), a person 22 not be denied employment or terminated from employment solely on the basis that the person has been 24 convicted of a serious felony that is not also a violent 25 felony, any sex offense, as defined in Section 44010, or any controlled substance offense, as defined in Section 44011 if that person can prove to the sentencing court of the offense in question, by clear and convincing evidence, that he or she has been rehabilitated for the purposes of school employment for at least one year. If the offense in question occurred outside this state, then the person may seek a finding of rehabilitation from the court in the school district in which he or she is a resident.
  - (h) Notwithstanding any other provision of law, when the Department of Justice notifies a school district by telephone or electronic mail that a current temporary employee, substitute employee, or probationary employee serving before March 15 of the employee's second probationary year, has been convicted of a violent or serious felony, any sex offense, as defined in Section

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44010, or any controlled substance offense, as defined in Section 44011 that employee shall immediately be placed on leave without pay. When the school district receives 4 written electronic notification of the fact of conviction 5 from the Department of Justice, the employee shall be terminated automatically and without regard to any other procedure for termination specified in this code or procedures district unless the 9 challenges the record of the Department of Justice and the Department of Justice withdraws in writing its 10 notification to the school district. Upon receipt of written withdrawal of notification 12 from the Department of 13 Justice, the employee shall immediately be reinstated 14 with full restoration of salary and benefits for the period time from the suspension without pay to the 15 of 16 reinstatement. 17

- (i) An employer shall request subsequent 18 service from the Department of Justice as provided under Section 11105.2 of the Penal Code.
- 20 (j) Notwithstanding Section 47610, this section applies 21 to a charter school.

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- (k) This section shall not apply to a certificated employee who applies to renew his or her credential when both of the following conditions have been met:
- (1) The employee's original application for credential was accompanied by that person's fingerprints.
- (2) The employee has either been continuously 28 employed in one or more public school districts since the issuance or last renewal of his or her credential or his or her credential has not expired between renewals.
- (1) Nothing in this section shall prohibit a county 32 superintendent of schools from issuing a temporary certificate to any person described in paragraph (1) or 34 (2) of subdivision (k).
- 35 (m) All information obtained from the Department of 36 Justice is confidential. Every agency handling shall ensure 37 Department of Justice information 38 following:
- (1) No recipient may disclose its contents or provide 39 40 copies of information.

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(2) Information received shall be stored in a locked file separate from other files, and shall only be accessible to the custodian of records.

- (3) Information received shall be destroyed upon the 5 hiring determination in accordance with subdivision (a) of Section 708 of Title 11 of the California Code of Regulations.
- with (4) Compliance destruction, storage, dissemination, auditing, backgrounding, and training 10 requirements as set forth in Sections 700 through 708 inclusive, of Title 11 of the California Code of Regulations and Section 11077 of the Penal Code governing the use and security of criminal offender record information is 14 the responsibility of the entity receiving the information from the Department of Justice.
- SEC. 3. Section 44830.2 is added to the Education 16 17 Code, to read:
- 44830.2. (a) When a person is an applicant for 19 employment or is employed on a part-time or substitute 20 basis in a position requiring certification qualifications in multiple school districts within a county or within 22 contiguous counties, the districts may agree themselves to designate a single district, or a county superintendent may agree to act on behalf participating districts within the county or contiguous 26 counties, for the purposes of performing the following functions:
  - (1) Sending fingerprints to the Department of Justice.
- (2) Receiving reports of convictions of serious and 30 violent felonies.
  - (3) Reviewing criminal history records and reports of subsequent arrests from the Department of Justice.
  - (4) Maintaining common lists of persons eligible for employment.
- (b) The school district or county superintendent 36 serving in the capacity authorized in subdivision (a) shall be considered the employer for purposes of subdivisions (a), (d), and (g) of Section 44830.1.
- (c) Upon receipt from the Department of Justice of a 39 report of conviction of a serious or violent felony, the

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designated school district or county superintendent shall communicate that fact to the participating districts and remove the affected employee from the common list of persons eligible for employment.

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- (d) Upon receipt from the Department of Justice of a criminal history record or report of subsequent arrest for 6 any person on a common list of persons eligible for employment, the designated school district or county 9 superintendent shall give notice to the superintendent of any participating district or a person designated 10 writing by that superintendent, that the report available for inspection on a confidential basis by the 12 superintendent or authorized designee, at the office of 13 14 the designated school district or county superintendent, for a period of 30 days following receipt of notice, to 15 employing school district to determine 16 enable the whether the employee meets that district's criteria for 17 18 continued employment. The designated school district or county superintendent shall not release a copy of that 20 information to any participating district or any other person, shall retain or dispose of the information in the 21 22 manner required by law after all participating districts 23 have had an opportunity to inspect it in accordance with this section, and shall maintain a record of all persons to whom the information has been shown that shall be available to the Department of Justice to monitor 27 compliance with the requirements of confidentiality 28 contained in this section.
- processing of (e) Any agency Department 30 responses pursuant to this section shall submit interagency agreement to the Department of Justice to establish authorization to submit and receive information pursuant to this section.
- 34 (f) All information obtained from the Department of Every 35 Justice is confidential. agency handling shall ensure 36 Department of Justice information 37 following:
- 38 (1) No recipient may disclose its contents or provide 39 copies of information.

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(2) Information received shall be stored in a locked file separate from other files, and shall only be accessible to the custodian of records.

- (3) Information received shall be destroyed upon the hiring determination in accordance with subdivision (a) of Section 708 of Title 11 of the California Code of Regulations.
- (4) Compliance with destruction, storage, dissemination, auditing, backgrounding, and training 10 requirements as set forth in Sections 700 through 708, inclusive, of Title 11 of the California Code of Regulations and Section 11077 of the Penal Code governing the use and security of criminal offender record information is the responsibility of the entity receiving the information from the Department of Justice.
  - SEC. 4. Section 45125 of the Education Code is amended to read:
- 45125. (a) Except as provided in Section 45125.01, 19 the governing board of any school district shall require each person to be employed in a position not requiring certification qualifications, except a school employee or an employee of an entity providing services to a school district if the employee has been issued a Department of Motor certificate by the authorizing the person to operate a vehicle identified in Section 12517, 12523, or 12523.5 of the Vehicle Code, or a secondary school pupil employed in a temporary or part-time position by the governing board of the school district having jurisdiction over the school attended by 30 the pupil, to have two fingerprint cards bearing the legible rolled and flat impressions of the person's fingerprints together with a personal description of the 32 applicant prepared by a local public law enforcement 34 agency having jurisdiction in the area of the school district, which agency shall transmit the cards, together 36 with the fee required by subdivision (f), to 37 Department of Justice; except that any district, districts with a common board, may 38 process fingerprint cards if the district so elects. "Local public law enforcement agency" as used herein includes any school

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district and as used in Section 45126 requires the Department of Justice to provide to any school district, application, information pertaining applicants for employment by the district, including 5 applicants who are employees of another district.

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- (b) (1) Upon receiving the fingerprint Department of Justice shall ascertain whether applicant has been arrested or convicted of any crime insofar as that fact can be ascertained from information 10 available to the department and forward the information the employing agency submitting the fingerprints no more than 15 working days after receiving 12 the fingerprint cards. The Department of Justice shall not 14 forward records of criminal proceedings that did not 15 result in a conviction but shall forward information on 16 arrests pending adjudication.
- implementation (2) Upon of electronic an 18 fingerprinting system with terminals located statewide and managed by the Department of Justice, 20 Department of Justice shall ascertain the information this required pursuant to subdivision within 22 working days. If the Department of Justice cannot 23 ascertain the information required pursuant to subdivision within three working days, the department shall notify the school district that it cannot so ascertain required information. This notification shall delivered by telephone or electronic mail to the school district. If a school district is notified by the Department ascertain that it cannot 30 information about a person, the school district may not employ that person until the Department of Justice ascertains that information. At the request of the school district, the Department of Justice will forward one copy the fingerprint card to the Federal Bureau of 34 of Investigation for the purpose of obtaining any record of 36 previous convictions of the applicant or employee.
- (c) The governing board of a school district shall not 38 employ a person until the Department of Justice completes its obligations as set forth in this section and Sections 45125.5 and 45126, except that this subdivision

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does not apply to secondary school pupils who are to be employed in a temporary or part-time position by the 3 governing board of the school district having jurisdiction over the school they attend.

- governing board of district (d) The each 6 maintain a list indicating the number of employees, except secondary school pupils employed in a temporary or part-time position by the governing board of the school district having jurisdiction over the school 10 they attend, who have not completed the requirements of this section. The Department of Justice shall process these cards within 30 working days of their receipt and 12 any cards in its possession on the date of the amendment 14 of this section by Assembly Bill 1610 of the 1997-98 15 Regular Session within 30 working days of that date. have 16 School that previously districts submitted 17 identification cards for current employees to either the 18 Department of Justice or the Federal Bureau 19 Investigation shall not be required to further implement 20 the provisions of this section as it applies to those 21 employees.
- (e) A plea or verdict of guilty or a finding of guilt by 23 a court in a trial without a jury or forfeiture of bail is deemed to be a conviction within the meaning of this section, irrespective of a subsequent order under the provisions of Section 1203.4 of the Penal Code allowing the withdrawal of the plea of guilty and entering of a plea of not guilty, or setting aside the verdict of guilty, or dismissing the accusations or information.
- shall district provide (f) The school the whereby the fingerprint cards may be completed and 32 may charge a fee determined by the Department of Justice to be sufficient to reimburse the department for the costs incurred in processing the application. The 34 amount of the fee shall be forwarded to the Department of Justice, with required copies of applicant's fingerprint cards. The governing board may collect a reasonable fee payable to the local public law enforcement agency taking the fingerprints and completing the data on the fingerprint cards. In no event shall the fee exceed the

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actual costs incurred by the agency. The additional fees shall be transmitted to the city or county treasury. If an applicant is subsequently hired by the board within 30 days of the application, the fee may be reimbursed to the applicant. Funds not reimbursed to applicants shall be credited to the general fund of the district. If the fingerprint cards forwarded to the Department of Justice are those of a person already in the employ of the 9 governing board, the district shall pay the fee required by this section, which fee shall be a proper charge against the 10 general fund of the district, and no fee shall be charged 12 the employee. 13

(g) This section applies to substitute and temporary 14 employees regardless of length of employment.

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- (h) Subdivision (c) of this section shall not apply to a 16 person to be employed if a school district determines that an emergency or an exceptional situation exists, and that a delay in filling the position in which the person would be employed would endanger pupil health or safety.
  - (i) Where reasonable access to the statewide, electronic fingerprinting network is available. Department of **Justice** may mandate electronic submission of the fingerprints and related information required by this section.
  - (j) All information obtained from the Department of Justice is confidential. Each agency handling Department of Justice information shall ensure following:
  - (1) No recipient may disclose its contents or provide copies of information.
- (2) Information received shall be stored in a locked file 32 separate from other files, and shall only be accessible to the custodian of records.
- 34 (3) Information received shall be destroyed upon the 35 hiring determination in accordance with subdivision (a) 36 of Section 708 of Title 11 of the California Code of 37 Regulations.
- 38 (4) Compliance with destruction, storage, dissemination, auditing, backgrounding, and training requirements as set forth in Sections 700 through 708,

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inclusive, of Title 11 of the California Code of Regulations and Section 11077 of the Penal Code governing the use and security of criminal offender record information is the responsibility of the entity receiving the information 5 from the Department of Justice.

- SEC. 5. Section 45125.01 is added to the Education Code. to read:
- 45125.01. (a) When a person is an applicant for employment or is employed on a part-time or substitute requiring 10 basis position not qualifications in multiple school districts within a county 12 or within contiguous counties, the districts may agree among themselves to designate a single district, or a 14 county superintendent may agree to act on behalf of participating districts within the county or contiguous 16 counties, for the purposes of performing the following duties:
  - (1) Sending fingerprints to the Department of Justice.
- (2) Receiving reports of convictions of serious and 20 violent felonies, criminal history records and reports of subsequent arrests from the Department of Justice.
  - (3) Maintaining common lists of persons eligible for employment.
- (b) The school district or county superintendent 25 serving in the capacity authorized in subdivision (a) shall be considered the employer for purposes of subdivisions (a) and (f) of Section 45125.
- (c) Upon receipt from the Department of Justice of a 29 report of conviction of a serious or violent felony, the designated school district or county superintendent shall communicate that fact to the participating districts and remove the affected employee from the common list of persons eligible for employment.
- (d) Upon receipt from the Department of Justice of a 35 criminal history record or report of subsequent arrest for any person on a common list of persons eligible for 36 employment, the designated school district or county superintendent shall give notice to the superintendent of any participating district or a person designated by that superintendent, that the report

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available for inspection on a confidential basis by the superintendent or authorized designee, at the office of the designated school district or county superintendent, for a period of 30 days following receipt of notice to enable 5 the employing school district to determine whether the employee meets that district's criteria for continued 6 employment. The designated school district or county superintendent shall not release a copy of 9 information to any participating district or any other 10 person, shall retain or dispose of the information in the manner required by law after all participating districts have had an opportunity to inspect it in accordance with 12 13 this section, and shall maintain a record of all persons to 14 whom the information has been shown that shall be available to the Department of Justice to monitor 15 with requirements of confidentiality 16 compliance the 17 contained in this section.

(e) Any agency processing Department of 19 responses pursuant to this section shall interagency agreement to the Department of Justice to establish authorization to submit and receive information pursuant to this section.

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- (f) All information obtained from the Department of 24 Justice is confidential. Every agency handling 25 Department of Justice information shall ensure the following:
  - (1) No recipient may disclose its contents or provide copies of information.
  - (2) Information received shall be stored in a locked file separate from other files, and shall only be accessible to the custodian of records.
  - (3) Information received shall be destroyed upon the hiring determination in accordance with subdivision (a) of Section 708 of Title 11 of the California Code of Regulations.
  - (4) Compliance with destruction, storage, auditing, backgrounding, dissemination, and training requirements as set forth in Sections 700 through 708, inclusive, of Title 11 of the California Code of Regulations and Section 11077 of the Penal Code governing the use

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and security of criminal offender record information is the responsibility of the entity receiving the information from the Department of Justice.

SEC. 6. Section 45125.1 of the Education Code is 5 amended to read:

45125.1. (a) Except as provided in subdivisions (b), 6 (c), and (d), if the employees of any entity that has a contract with a school district, as defined in Section 41302.5, to provide any of the following services may have 10 any contact with pupils, those employees shall submit or have submitted their fingerprints in a manner authorized the Department of Justice together with a fee 12 determined by the Department of Justice to be sufficient to reimburse the department for its costs incurred in processing the application: 15

- (1) School and classroom janitorial.
- (2) Schoolsite administrative.
- 18 (3) Schoolsite grounds and landscape maintenance.
  - (4) Pupil transportation.

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- 20 (5) Schoolsite food-related.
  - (b) This section shall not apply to an entity providing any of the services listed in subdivision (a) to a school district in an emergency or exceptional situation, such as when pupil health or safety is endangered or when repairs are needed to make school facilities safe and habitable.
- (c) This section shall not apply to an entity providing 28 any of the services listed in subdivision (a) to a school district when the school district determines that the 30 employees of the entity will have limited contact with pupils. In determining whether a contract employee has 32 limited contact with pupils, the school district shall consider the totality of the circumstances, including 34 factors such as the length of time the contractors will be 35 on school grounds, whether pupils will be in proximity 36 with the site where the contractors will be working, and whether the contractors will be working by themselves or with school district has made 38 others. If a determination, the school district shall take appropriate

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steps to protect the safety of any pupils that may come in contact with these employees.

(d) This section does not apply to an employee of an entity providing services to a school district if the has been issued a certificate by Department of Motor Vehicles authorizing the person to operate a vehicle identified in Section 12517, 12523, or 12523.5 of the Vehicle Code.

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- (e) A school district may determine, on a case-by-case 10 basis, to require an entity providing services other than those listed in subdivision (a) or those described in Section 45125.2 and the entity's employees to comply with 12 the requirements of this section, unless the school district 14 determines that the employees of the entity will have limited contact with pupils. In determining whether a contract employee will have limited contact with pupils, 17 the school district shall consider the totality of the circumstances, including factors such as the length of time the contractors will be on school grounds, whether pupils will be in proximity with the site where the contractors will be working, and whether the contractors 21 will be working by themselves or with others. If a school district makes this determination, the school district shall take appropriate steps to protect the safety of any pupils that may come in contact with these employees. If a school district requires an entity providing services other than those listed in subdivision (a) and its employees to with the requirements of this section, Department of Justice shall comply with subdivision (f).
- (f) (1) The Department of Justice shall ascertain whether the individual whose fingerprints submitted to it pursuant to subdivision (a) has been arrested or convicted of any crime insofar as that fact can information 34 ascertained from available to department. Upon implementation of an electronic 36 fingerprinting system with terminals located statewide and managed by the Department of Justice, shall the department ascertain information required pursuant to this section within three working days. When the Department of Justice ascertains that an individual

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whose fingerprints were submitted to it pursuant to subdivision (a) has a pending criminal proceeding for a felony as defined in Section 45122.1 or has been convicted of a felony as defined in Section 45122.1, the department shall notify the employer designated by the individual of that fact. The notification shall be delivered by telephone or electronic mail to the employer.

- (2) The Department of Justice may forward one copy of the fingerprints to the Federal Bureau of Investigation 10 to verify any record of previous arrests or convictions of the applicant. The Department of Justice shall review the criminal record summary it obtains from the Federal 12 13 Bureau of Investigation and shall notify the employer 14 only as to whether or not an applicant has any convictions or arrests pending adjudication for offenses which, if 15 committed in California, would have been punishable as 17 a violent or serious felony. The Department of Justice not provide any specific offense information received from the Federal Bureau of Investigation. The Department of Justice shall provide written notification 21 to the contract employer only concerning whether an applicant for employment has any conviction or arrest pending final adjudication for any of those crimes, as specified in Section 45122.1, but shall not provide any 25 information identifying any offense for which an existing employee was convicted or has an arrest pending final 26 27 adjudication.
- (g) An entity having a contract as specified in 29 subdivision (a) and an entity required to comply with this section pursuant to subdivision (e) shall not permit an employee to come in contact with pupils until the 32 Department of Justice has ascertained that the employee has not been convicted of a felony as defined in Section 34 45122.1.
- (h) An entity having a contract as specified 36 subdivision (a) and an entity required to comply with this section pursuant to subdivision (e) shall certify in writing to the school district that neither the employer nor any of its employees who are required by Section 45125.1 to submit or have their fingerprints submitted to

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Department of Justice and who may come in contact with pupils have been convicted of a felony as defined in 3 Section 45122.1.

a contract as (i) An entity having specified 5 subdivision (a) on the effective date of this section and an entity required to comply with this section pursuant to subdivision (e) by a school district with which it has a contract on the effective date of the amendments made to this section during the 1997-98 Regular Session shall complete the requirements of this section within 90 days of that date.

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- (i) For purposes of this section, a person, association, partnership, or corporation offering conducting private school instruction on the elementary or high school level and a charter school shall be deemed 16 to be a school district.
- (k) Where reasonable the statewide access to 18 electronic fingerprinting network is available. mandate Justice Department of may electronic submission of the fingerprint cards and other information required by this section.
- 22 SEC. 6.5. Section 45125.2 is added to the Education 23 Code, to read:
- 45125.2. Notwithstanding subdivision (e) of Section 25 45125.1, a school district contracting with an entity for the construction, reconstruction, rehabilitation, or repair of a school facility where the employees of the entity will have contact, other than limited contact, with pupils shall ensure the safety of the pupils by one or more of the following methods:
- 31 (a) The installation of a physical barrier at the worksite 32 to limit contact with pupils.
- (b) Continual supervision and monitoring of all 34 employees of the entity by an employee of the entity whom the Department of Justice has ascertained has not 36 been convicted of an offense pursuant to subdivision (e) of Section 44830.1.
- 38 (c) Surveillance of employees of the entity by school 39 personnel.

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SEC. 7. Section 13370 of the Vehicle Code is amended 1 to read:

- 13370. (a) The department shall deny or revoke a schoolbus, school pupil activity bus, general public paratransit vehicle, or youth bus driver certificate if any of the following causes apply to the applicant or certificate holder:
- (1) Has been convicted of any sex offense as defined in Section 44010 of the Education Code.
- convicted. (2) Has been within the preceding the application date, of any offense specified in Section 11361.5 of the Health and Safety Code.
- (3) Has failed to meet prescribed testing or training 14 requirements for certificate issuance.
- (4) (A) Has been convicted of any violent felony 16 listed in subdivision (c) of Section 667.5 of the Penal Code or any serious felony listed in subdivision (c) of Section 18 1192.7 of the Penal Code.
- (B) Subparagraph (A) applies only to an applicant 20 who initially applies for a schoolbus, school pupil activity bus, general public paratransit vehicle, or youth bus driver certificate on or after the effective date of the act adding this paragraph.
- (b) The department may deny, suspend, or revoke a 25 schoolbus, school pupil activity bus, general public paratransit vehicle, or youth bus driver certificate if any of the following causes apply to the applicant or certificate holder:
- (1) Has been convicted of any crime specified in 30 Section 44424 of the Education Code, within seven years preceding the application date. This paragraph does not apply if denial is mandatory.
  - (2) Has committed any act involving moral turpitude.
- (3) Has been convicted of any offense, not specified in 34 this section and other than a sex offense, that is punishable as a felony, within the seven years preceding the 36 application date. 37
- (4) Has been dismissed as a driver for a cause relating 38 to pupil transportation safety.

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(5) Has been convicted, within the seven years preceding the application date, of any offense relating to the use, sale, possession, or transportation of narcotics, habit-forming drugs, or dangerous drugs, provided in paragraph (3) of subdivision (a).

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- (c) (1) Reapplication following denial or revocation under subdivision (a) or (b) may be made after a period of not less than one year from the effective date of denial or revocation.
- (2) An applicant or holder of a certificate may reapply for a certificate whenever a felony or misdemeanor conviction is reversed or dismissed. A termination of probation and dismissal of charges pursuant to Section 14 1203.4 of the Penal Code or a dismissal of charges 15 pursuant to Section 1203.4a of the Penal Code is not a dismissal for purposes of this section.
- SEC. 8. Section 13376 of the Vehicle Code is amended 18 to read:
  - 13376. (a) The department shall revoke a schoolbus, school pupil activity bus, youth bus, or general public certificate, paratransit driver and shall application for that certificate, for any of the following causes:
- (1) The applicant or certificate holder has 25 convicted of any sex offense as defined in Section 44010 of the Education Code, any violent felony listed in subdivision (c) of Section 667.5 of the Penal Code, or any serious felony listed in subdivision (c) of Section 1192.7 of the Penal Code.
- applicant has, within years (2) The the three preceding the application date, either been convicted of a violation of Section 20001, 23103, 23104, 23152, or 23153, or has his or her driving privilege suspended, revoked, or 34 placed on probation by the department for a cause involving the safe operation of a motor vehicle.
- (3) The applicant has, within the two years preceding 36 the application date, been convicted of any offense 37 specified in Section 11361.5 of the Health and Safety Code.

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(4) The applicant has failed to meet the prescribed testing requirements for issuance of the certificate.

- (b) (1) The department shall revoke a certificate listed in subdivision (a), following an opportunity to 5 challenge the validity of the testing described in this paragraph, for three years if the certificate holder has received a positive test result for a controlled substance, specified in Part 382 (commencing with Section 382.101) of Title 49 of the Code of Federal Regulations 10 and Section 34520. However, the department shall not revoke a certificate under this paragraph if the certificate holder is in compliance with any rehabilitation or return 12 13 to duty program that is imposed by the employer that 14 meets the controlled substances and alcohol use and testing requirements set forth in Part 382 (commencing 16 with Section 382.101) of Title 49 of the Code of Federal 17 Regulations.
- (2) If an applicant receives a positive test result and 19 has been provided an opportunity to challenge validity of the test, the department shall deny application for a certificate listed in subdivision (a) for three years from the date of the confirmed positive test result.
- (3) The carrier that requested the test shall report the 25 positive test result to the department not later than five 26 days after receiving notification of the test result on a 27 form approved by the department.
- (4) The department shall maintain a positive test 29 result reported under paragraph (3) in the driving record of the applicant or certificate holder for three years from the date the department receives the report.
- (c) (1) The department may temporarily schoolbus, school pupil activity bus, youth bus, or general driver certificate, public paratransit or temporarily 35 withhold issuance of a certificate to an applicant, if the 36 holder or applicant is arrested for or charged with any sex offense, as defined in Section 44010 of the Education Code.
- (2) Upon receipt of a notice of temporary suspension, 39 40 or of the department's intent to withhold issuance, of a

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certificate. the certificate holder or applicant request a hearing within 10 days of the effective date of the department's action.

(3) The department shall, upon request of the holder of, or applicant for, a certificate, within 10 working days of the receipt of the request, conduct a hearing on whether the public interest requires suspension withholding of the certificate pursuant to paragraph (1).

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- (4) If the charge is dismissed or results in a finding of 10 not guilty, the department shall immediately terminate the suspension or resume the application process, and shall expunge the suspension action taken pursuant to this subdivision from the record of the applicant or certificate 14 holder.
- (d) An applicant or holder of a certificate may reapply 16 for a certificate whenever a felony or misdemeanor conviction is reversed or dismissed. A termination of probation and dismissal of charges pursuant to Section 1203.4 of the Penal Code or a dismissal of charges 20 pursuant to Section 1203.4a of the Penal Code is not a dismissal for purposes of this section.
- (e) The determination of the facts pursuant to this 23 section is a civil matter which is independent of the determination of the person's guilt or innocence, has no collateral estoppel effect on a subsequent criminal prosecution, and does not preclude the litigation of the same or similar facts in a criminal proceeding.
- SEC. 9. Notwithstanding Section 17610 29 Government Code, if the Commission on State Mandates determines that this act contains costs mandated by the reimbursement to local agencies districts for those costs shall be made pursuant to Part 7 (commencing with Section 17500) of Division 4 of Title 34 2 of the Government Code. If the statewide cost of the 35 claim for reimbursement does not exceed one million 36 dollars (\$1,000,000), reimbursement shall be made from the State Mandates Claims Fund.
- 37 Notwithstanding Section 17580 of the Government 38 39 Code, unless otherwise specified, the provisions of this act

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1 shall become operative on the same date that the act 2 takes effect pursuant to the California Constitution.

3 SEC. 10. This act is an urgency statute necessary for 4 the immediate preservation of the public peace, health, 5 or safety within the meaning of Article IV of the 6 Constitution and shall go into immediate effect. The facts 7 constituting the necessity are:

8 To protect the safety and well-being of pupils in public 9 and private schools in the state, it is necessary that this act 10 take effect immediately.